

# Sophisticated Investor(s)

## Certificate by a Qualified Accountant: Chapter 6D and Chapter 7 of the Corporations Act 2001.

This certificate must be completed by a qualified accountant if the Entity is to be classified as a Sophisticated Investor under Section 708(8) and Section 761G(7) of the Corporations Act. Don't use where investment is for use by a business.

### DETAILS

**Full name of Person<sup>1</sup>** (see below for examples and notes for completing)

*E.g. John Smith - Individual; Smith Pty Ltd - Corporate including Corporate Trustee; John Smith & Mary Smith (individual Trustees)*

**Address or registered office of Person**

Street Name

Suburb

State

Postcode

Country

### CONTROLLED ENTITIES

It is also confirmed for the purposes of the Corporations Act the above named person controls the following companies and/or trusts:

Print Full Name of Company/Trust

  
  


ABN/ACN/ARBN (if any)

  
  


### ACCOUNTANT'S DECLARATION

I certify that:

(a) the Person whose details are set out above meets the requirements of Section 708(8)(c) and Section 761G(7)(c) by having either:

net assets<sup>2</sup> of at least \$2.5 million; OR

a gross income<sup>3</sup> for each of the last two financial years of at least \$250,000 and

(b) that I comply with the Continuing Professional Development education requirements of this Professional Body.

Name of Accountant's Professional Body (e.g. Chartered Accountant)

Membership Number

Name of Accountant

Email Address

Name of Firm

Registered Street Address of Firm

Suburb

State

Postcode

Country

Signature

Date of Issue

References (as relevant)

- (1) Person includes an individual, company or individual trustees in their capacity as trustees of a trust
- (2) In determining the net assets of a person or entity, the net assets of a company or trust "controlled" by the person or entity as defined in Section 50AA of the Corporations Act may be included.
- (3) In determining the gross income of a person, the gross income of a company or trust "controlled" by the person (as defined in Section 50AA of the Corporations Act) may be included.
- (4) A person or entity is "controlled" if Section 50AA of the Corporations Act is satisfied.